

## AMENDMENT TRANSMITTAL LETTER

Docket No.  
01034/100H570-US1

Application No. 09/945,258-Conf. #1969	Filing Date August 31, 2001	Examiner K. Carlson	Art Unit 1653
---	--------------------------------	------------------------	------------------

Applicant(s): Peter H. St. George-Hyslop, et al.

Invention: PROTEINS RELATED TO SCHIZOPHRENIA AND USES THEREOF



RECEIVED  
DEC 16 2003  
U.S. PATENT AND TRADEMARK OFFICE

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	22	- 16 =	3	x 18.00	54.00
Independent Claims	6	- 6 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within first month					110.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					164.00

 Large Entity Small Entity No additional fee is required for this amendment. Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed. A check in the amount of \$ 164.00 to cover the filing fee is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge and credit Deposit Account No. 04-0100  
as described below. A duplicate copy of this sheet is enclosed. Credit any overpayment. Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Anna Lovqvist, Ph.D.

Dated: December 8, 2003

Limited Recognition under 37 C.F.R. 10.9(b)

(see attached)

Representative of Applicants

DARBY &amp; DARBY P.C.

P.O. Box 5257

New York, New York 10150-5257

(212) 527-7766

Express Mail Label No. \_\_\_\_\_

Dated: \_\_\_\_\_

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 164.00)

## Complaint if Known

Application Number	09/945,258-Conf. #1969
Filing Date	August 31, 2001
First Named Inventor	Peter H. St. George-Hyslop
Examiner Name	K. Carlson
Art Unit	1653
Attorney Docket No.	01034/100H570-US1

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None
 Deposit Account:Deposit Account Number 04-0100Deposit Account Name Darby & Darby P.C.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

SUBTOTAL (1) (\$ 0.00)

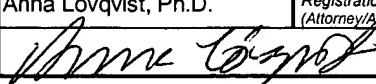
SUBTOTAL (2) (\$ 54.00)

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 110.00)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Anna Lovqvist, Ph.D.	Registration No. (Attorney/Agent)	See Limited Recognition	Telephone	(212) 836-3747
Signature			Date	December 6, 2003	

Express Mail Label No.

Dated: \_\_\_\_\_



BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR 10.9(b)

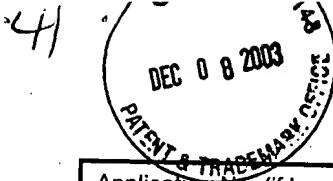
Anna Lovqvist is hereby given limited recognition under 37 CFR 10.9(b) as an employee of the Darby & Darby P.C. law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Darby & Darby P.C. law firm, and the attorney or agent of record in the applications is a registered practitioner, located in the United States, who is a member of the Darby & Darby P.C. law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Anna Lovqvist ceases to lawfully reside in the United States, (ii) Anna Lovqvist's employment with the Darby & Darby P.C. law firm ceases or is terminated, or (iii) Anna Lovqvist ceases to remain or reside in the United States on an H1B1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: February 4, 2004

Harry I. Moatz  
Director of Enrollment and Discipline

RECEIVED  
DEC 16 2003  
USPTO CENTER FOR SECURITY



1653\$

Application No. (if known): 09/945,258

Attorney Docket No.: 01034/100H570-US1

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. *EL983951258W* in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on December 8, 2003  
Date

RECEIVED  
DEC 10 2003  
U.S. PATENT AND TRADEMARK OFFICE

J. Stantini  
Signature

J. Stantini  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Amendment Transmittal (1 page);  
Amendment in response to 9/6/03 Office Action (9 page);  
Petition for Extent. of Time - 1 Month (1 page);  
Fee Transmittal for 2004 (1 page);  
Limited Recognition under 37 C.F.R. 10.9(b); and  
Check for \$146.00).